Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12844-002001	Application No. 10/702,305	
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.96(b))		Applicant Michael C. Chen et al.		
		Filing Date November 6, 2003	Group Art Unit 1645	

			U.S. Pate	ent Documents			
Examiner Initial	Desig.	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	6,342,224	01/29/2002	Bruck et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner	Desig.	Document	Publication	Country or				lation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AL	WO 99/03884	01/28/1999	EP			x	
	AM	WO 90/06951	06/28/1990	EP			X	
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)			
Examiner	Desig.		
Initial	ID	Document	
	AQ	McDaniel, et al., "Immunization with a Plasmid Expressing Pneumococcal Surface protein A (PspA) can Elicit Protection Against Fatal Infection with Streptococcus pneumoniae," Gene Therapy, 1997, Vol. 4, No. 4, pp. 375-377, see Abstract	
	AR		
	AS		

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if no	t in conformance and not considered. Include copy of this form with